

Internal Audit Annual Plan 2009/10 - Update at 30 November 2009

Appendix A

Work Allocations		Actuals to 30/11/09	Remaining	Committed	Approved Plan (23/09/09)	Variance	Status at 30/11/09
Job No	Title						
1. ASSURANCE WORK							
Core Financial Systems							
09/0715	Asset Management	1.7	8.3	10.0			
09/0739	Creditors	1.2	6.8	8.0			
09/0750	Academy/Civica Interfaces	7.7	7.3	15.0			
09/0752	Main Accounting	3.2	4.8	8.0			
09/0772	Value Added Tax	10.2	1.8	12.0			
09/0776	Payroll 2009/10	2.7	5.3	8.0			
09/0778	Sundry Debtors 2009/10	5.3	2.7	8.0			
09/0779	Housing Rents 2009/10	5.3	4.7	10.0			
09/0781	Council Tax 2009/10	1.0	7.0	8.0			
09/0782	NDR 2009/10	3.1	4.9	8.0			
	Treasury Management	0.0	8.0	8.0			
Sub-total - Core Financial Systems		41.4	61.6	103.0	90.0	-13.0	
Core Management Arrangements							
08/0703	Fraud & Corruption Arrangements	6.8	0.0	6.8			
08/0721	National Fraud Initiative 2008/09	10.2	4.8	15.0			
08/0761	Financial Management	0.2	11.8	12.0			
09/0773	Performance Management	4.9	15.1	20.0			
09/0774	Ethical Governance Framework and Survey	20.3	4.7	25.0			
09/0777	Project Management	0.1	14.9	15.0			
Sub-total - Core Management Arrangements		42.5	51.3	93.8	75.0	-18.8	
Risk Based Assurance Work Programme							
08/0129	Contracts Audit - Final Accounts	0.3	1.7	2.0			
08/0714	Fair Pay Project	13.6	4.4	18.0			
08/0723	Arts Development	1.4	0.0	1.4			
08/0725	Member Expenses & Civic Functions	2.5	0.0	2.5			
08/0728	Enforcement	4.5	0.5	5.0			
08/0730	Climate Change	23.9	1.5	25.4			
08/0733	Planning for Floods	0.5		0.5			
08/0749	Sustainable Travel	0.8	4.2	5.0			
08/0753	Williamson's Park Financial Procedures	0.9	0.0	0.9			
08/0754	Mod.Gov System	9.8	0.0	9.8			
08/0755	Contaminated Land	6.8	7.2	14.0			
08/0759	Housing Standards	9.1	4.9	14.0			
09/0726	Children and Young People	0.0	0.0	0.0			CFwd
09/0737	Leisure Development	0.0	0.0	0.0			CFwd
09/0738	Information Security	0.3	14.7	15.0			
09/0762	Planning Decisions	0.0	12.0	12.0			
09/0775	Customer Services	5.6	6.4	12.0			
09/0780	Employee Training and Development	0.0	12.0	12.0			
Sub-total - Risk Based Assurance Work		80.0	69.5	149.5	225.0	75.5	
Follow-Up Reviews		70.4	0.0	70.4	64.0	-6.4	
SUB-TOTAL - ASSURANCE WORK		234.3	182.4	416.7	454.0	37.3	

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Job No	Title						
2. CONSULTANCY WORK							
Support Work (projects and other)							
08/0509	RIPA Monitoring	2.2	1.8	4.0			∞
08/0633	Risk Management Steering Group	0.8	0.7	1.5			∞
08/0700	Corporate Governance Framework Development	1.6	1.4	3.0			⚠
08/0735	Partnership Mapping and Evaluation	27.3	7.7	35.0			∞
08/0740	Procedures for Changing Conditions of Service	0.2	0.0	0.2			⚠
08/0744	Anti-Money Laundering Policy Development	5.1	2.9	8.0			⚠
09/0768	Programme Management and External Funding	7.8	4.2	12.0			⚠
09/0767	Use of Resources 2008/09	29.1	0.0	29.1			✓
09/0769	Corporate Procurement Arrangements	2.7	0.3	3.0			∞
Sub-total - Support Work		76.8	19.0	95.8	87.0	-8.8	
Efficiency & VfM							
09/0770	Value For Money Strategy Review	8.3	13.7	22.0			⚠
Sub-total - Efficiency & VfM		8.3	13.7	22.0	50.0	28.0	
Ad-Hoc Advice		35.6	13.4	49.0	49.0	0.0	∞
SUB-TOTAL - CONSULTANCY WORK		120.7	46.1	166.8	186.0	19.2	
3. OTHER							
00/0392	Deputy s151 Duties	7.9	1.1	9.0			∞
08/0760	Fair Pay Project - Pay Modelling Work	15.9	3.1	19.0			⚠
SUB-TOTAL - OTHER		23.8	4.2	28.0	28.0	0.0	
4. AUDIT MANAGEMENT							
08/0172	Committee Work	23.7	4.3	28.0			∞
08/0189	Audit Planning and Monitoring	22.2	7.8	30.0			∞
SUB-TOTAL - AUDIT MANAGEMENT		45.9	12.1	58.0	54.0	-4.0	
5. CONTINGENCIES							
Investigations		182.3	14.0	196.3	175.0	-21.3	⚠
General Contingency		0.0	0.0	0.0	20.0	20.0	
SUB-TOTAL - CONTINGENCIES		182.3	14.0	196.3	195.0	-1.3	
TOTALS		607.0	258.8	865.8	917.0	51.2	

Key: ✓ Completed

⚠ In Progress

⚠ Not Yet Started

∞

Continuous or Multi-Year Activity

CFwd Carried Forward to 2010/11 Plan

✗ Abandoned